

EFFECT OF 'OM MEDITATION ON BRAINSTEM AUDITORY EVOKED POTENTIALS, AUTONOMIC AND RESPIRATORY VARIABLES' AND SELECTIVE ATTENTION

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ABSTRACT

Background

Research on meditation in volunteers who were previous naïve to meditation began with studies on transcendental meditation (TM) in the early 1970 (Wallace 1970, Wallace, et al. 1971). Subsequently, there have been several studies on widely differing meditation techniques and practitioners for e.g., study done by Hirai (1960) on Japanese Zazen meditation showed that during the practice, meditators showed an increase in heart rate and increase in beta activity in EEG. However, another study on Zen meditators showed that this meditation was associated with decrease in breath rate (Kasamatsu & Hirai 1966). Hence the two studies on Zen meditation showed different trends. The study by Hirai (1960) suggested that Zen meditation is activating which was in contrast to study done by Kamatsu and Hirai (1970), which suggested that Zen meditation is physiologically relaxing. Another study done on a meditation technique called Ānanda Mārga meditation (based on principles from tāntric yoga text) showed that the practitioners of this meditation had an increase in heart rate from a mean of 69.4 to 72.8 and an increased skin conductance (Corby, et al. 1978). In contrast, a study by another group showed that Ānanda Mārga meditators had reduced breath rate (Elson, et al. 1977). Here again like the two studies on Zen meditation, two studies on Ānanda Mārga meditation also had conflicting implication. While the study by Corby et al (1978) and others suggested that Ānanda Mārga meditation is physiologically activating. The study by Elson et al (1970) suggested that Ānanda Mārga meditation decreased physiological activation. All these studies compared meditators with non-meditators. A different research design was attempted to assess whether

this would reduce inter-subject variability. This is a self-as-control design, which required the same person to be assessed in yoga session and in a non-yoga session (Telles, et al. 1992). This approach also involved assessing each yoga practitioners in repeated yoga and non-yoga sessions and it was found that there was definite inter-subject variability as well as intra-subject variability (i.e., where each practitioners differed in their trends of results of different days).

Hence no clear picture emerged about the effect of meditation on autonomic and respiratory variables given the considerable intra and inter individual variability (Telles, & Desiraju, 1993a; Telles, & Desiraju, 1993b; Telles, et al. 1995; Telles, et al. 1998). Hence it was considered that practitioners may be showing different results because they practice meditation in different ways i.e., meditation on OM was found to be practiced by visualizing the syllable OM as it is written in Sanskrit while few other practitioners practiced by mentally repeating OM (Telles, et al. 1994). Thus an attempt was made to teach all practitioners to practice meditation in the same way and this resulted in group significant results (Telles, et al. 1994). However this may limit the individual's experience and sense of fulfillment derived from meditation. This gave rise to the idea of examining description of meditation from traditional yoga texts may give, common results across different subjects. Hence, the present study intended to examine the effects of meditation as described in Patañjali's yoga Sūtras as well as two more mental states described in the Bhagavad Gētā. These mental states are Dhāraëä (Patañjali's Yoga Sūtras, Chapter 3: Verse 1) and Dhyāna (Patañjali's Yoga Sūtras, Chapter 3: Verse 2) and two other states, these are random thinking Caicalatā (Bhagavad Gētā Chapter 6: Verse 34), and single pointed ekāgratā (Bhagavad Gētā Chapter 6: Verse 12). In order to understand the psychophysiological effects the assessments comprised an attention task, autonomic and respiratory variables as well as brain stem auditory evoked potential. The last variable was selected to assess whether these practices influence sensory information processing as assessed by evoked potentials.

Aim

The present study aimed to assess the psycho-physiological changes during caicalatā (random thinking), ekāgratā (focusing), dhāraëä (meditative focusing), and dhyāna (meditation without focusing) on: (1) brainstem and auditory evoked potentials (2) autonomic and Respiratory variables (3) performance in a

six letter cancellation task.

Methods

Thirty subjects from Svämé Vivekänanda Yoga Research Foundation, Bangalore, South India were selected with ages ranging from 20 to 45 years ($M = 29.1 \pm SD = 6.5$ years) who had a minimum of 6 months experience in 'OM' meditation.

Design

Each subject was assessed in four sessions i.e., two meditation (dhäraëä and dhyäna) and two control sessions (caicalatä and ekägratä) to record brainstem auditory evoked potentials, autonomic and respiratory variables separately. And for performance in a cancellation task, assessments were made immediately before and after each session. The two control sessions were: (i) Ekägratä, i.e., single topic lecture and (ii) Caicalatä, i.e., non-targeted thinking. The two meditation sessions were (i) Dhäraëä, i.e., focusing on the symbol 'OM' and (ii) Dhyäna, i.e., meditation with effortless focusing on OM, the object of meditation. All four sessions consisted of three states, i.e., 'pre' (5 minutes), 'during' (20 minutes), and 'post' (5 minutes).

The assessments were made on four different days, but at the same time of the day (i.e., the self-as-control design). The allocation of the subjects to the four sessions was randomized using a standard random number table. This was done to prevent the influence of being exposed to the laboratory for the first time, from influencing the results.

Assessments

- I. BAEP were recorded using the Nicolet Bravo system (Nicolet Biomedicals, U.S.A.).
- II. Autonomic and respiratory variables using 4-channel polygraph (Polyrite D, Recorders & Medicare Systems, Chandigarh, India)
 - a) Galvanic Skin Resistance (GSR)
 - b) Finger Plethysmogram Amplitude (FPA)
 - c) Electrocardiogram (EKG)
 - d) Respirogram
 - (i) Six letter cancellation task (SLCT)

Intervention

Throughout all sessions subjects kept their eyes closed and followed pre recorded instructions. The instructions emphasized carrying out the practice slowly, with awareness and relaxation. The meditators who participated in the study underwent a month of orientation sessions where they practiced two phases which formed a continuum in meditation (dhāraëä and dhyāna) as two separate sessions and two control sessions, i.e., caicalatä or non-target thinking and ekägratä (by 'listening to a lecture on meditation, with multiple, yet associated thoughts').

These states are described in the traditional texts i.e., the Pataijali's Yoga Sūtras and Bhagavad Gētä, (Bhagavad Gētä Chapter 6: Verse 34, Chapter 6: Verse 12; Pataijali's Yoga Sūtras, Chapter 3: Verses 1-2) stating that when awake and in the absence of a specific task the mind is very distractible (caicalatä), and has to be taken through the stages of 'streamlining the thoughts' (concentration or ekägratä), before moving on to the stage of meditation. These are: one-pointed concentration, non-analytical focusing or dhāraëä and a defocused, effortless single thought state or dhyāna.

During caicalatä session (non-targeted thinking) the participants were directed to allow their thoughts to wander freely as they listened to a pre recorded general conversation of a local radio station transmission. During ekägratä practice participants were listening to a lecture on meditation. The dhāraëä session consisted of meditative focusing on the meaning of the Sanskrit syllable, 'OM', where OM is used as a symbol for the entire universe, representing that which sustains everything (Mäëöukya Upaniñat; Cinmayānanda, 1984). The dhyāna session consisted of meditation with effortless absorption with the object of meditation. For the two meditation sessions (dhāraëä and dhyāna) and the other two control sessions (caicalatä & ekägratä) participants were given guided instructions with a compiled audio CD relevant to the respective sessions. All sessions (dhāraëä, dhyāna, caicalatä, and ekägratä) constituted a practice of 20 minutes duration.

Data analysis

Statistical analysis was done for all variables using SPSS (Version 10.0). The peak latencies and peak amplitudes of all seven waves and all autonomic

variables were analyzed using repeated-measures analyses of variance (ANOVAs), and post-hoc analyses with Bonferroni adjustment was to compare 'pre' data with 'during' and 'post'. Also six letter cancellation task scores were analyzed using repeated-measures analyses of variance (ANOVAs), and post-hoc analyses with Bonferroni adjustment was to compare 'pre' with 'post' scores.

The repeated measures analyses of variance (ANOVAs) were performed with two 'Within Subjects' factors, i.e., Factor 1: Sessions; with four levels, viz., caicalatä, ekägratä, dhäraëä, and dhyäna, and Factor 2: States; with six levels, viz., Pre, During (D1 to D4), and Post. These repeated measures ANOVAs were carried out for the peak latency and peak amplitude of all levels as well as autonomic and respiratory variables. For letter cancellation task the repeated measures analyses of variance (ANOVAs) were performed with two 'Within subjects' factors, i.e., Factor 1: Sessions; with four levels, viz., caicalatä, ekägratä, dhäraëä, and dhyäna, and Factor 2: States; with two levels Pre and post. This was followed by a post-hoc analysis with Bonferroni adjustment for multiple comparisons between the mean values of different states (Pre, During 1 to During 4 and Post) and pre and post data analysis was done for letter cancellation task.

Results and Discussion

(i). Brainstem auditory evoked potential –There was a significant increase in the latency of Wave V during the caicalatä session (Pre versus During), ekägratä session (Pre versus During & post) and following the dhäraëä session (Pre versus Post).

Hence irrespective of whether meditators were in a state of random thinking (caicalatä) or channelized thought in concentration (ekägratä) there was a delay in sensory information processing, as mentioned above at the mid-brain, (possibly the inferior colliculus) level. The mental state was characterized by a lack of effort during dhyäna session, where as there was effort involved during dhäraëä session; in both the sessions, the latency of wave V did not show any significant delay in sensory information processing. In contrast, there was a significant delay seen post dhäraëä session while no such changes was observed post dhyäna session.

ii) Autonomic and respiratory variables-

- Galvanic Skin Resistance (GSR): There was a significant increase in the galvanic skin resistance during and after the dhyāna session in comparison to caīcalatā, ekāgratā, and dhāraëä sessions.
 - Finger Plethysmogram Amplitude (FPA): There was a significant increase in the digit pulse volume in dhyāna session (pre versus during).
 - Electrocardiogram (EKG): There was a significant decrease in the heart rate in dhyāna session (pre versus during & post).
 - Respiratory rate: There was a significant increase in the respiratory rate in caīcalatā sessions (pre versus during & post). But in session dhyāna there was significant decrease in respiratory rate (pre versus during & post).
 - HRV LF: There was a significant increase in low frequency in caīcalatā and ekāgratā sessions (caīcalatā (pre versus during & post), ekāgratā (pre versus during & post)). But there was significant decrease in low frequency in dhyāna session (pre versus during & post).
 - HF: There was a significant decrease in high frequency in ekāgratā session (pre versus during & post), while there was significant increase in high frequency in dhyāna session (pre versus during & post).
 - LF/HF: There was a significant increase in low and high frequency ratio in ekāgratā session (pre versus during & post).
- In the present study the LF power was higher during caīcalatā, ekāgratā and lower in dhyāna sessions. The HF power was lower during the ekāgratā practice and higher during the dhyāna practice. The LF/HF ratio was higher during the ekāgratā practice. The changes in LF and HF power suggest that there was a shift towards the increased sympathetic activity during caīcalatā and ekāgratā, where as increased parasympathetic activity was observed during dhyāna sessions. Hence dhāraëä did not influence the HRV. In the present study there was a significantly higher increase in skin resistance during dhyāna session in compare to other sessions. The rate of breathing is known to vary directly with the level of anxiety (Srinivas & Telles, 1999). A decreased breath rate is a well recognized correlate of reduced psychophysiological arousal. Hence for this variable also there were difference between dhāraëä and dhyāna. The heart rate was also lower during dhyāna in the present study there was a lowering of both breathing rate and heart rate during dhyāna suggestive of physiological relaxation.

- Six letter cancellation task (SLCT): Total and net scores were significantly higher after the dhāraëä session compared to the pre scores, whereas after the caicalatä session they were significantly lower. No significant change was observed in other sessions for the letter cancellation task.

Conclusions

These results showed that information transmission along the auditory pathway is delayed during caicalatä and ekägratä with no change during dhāraëä and dhyäna.

In dhyäna there was a relative increase in wave V amplitude (relative to wave III) suggesting recruitment of more neurons at the inferior collicular level compared to before. This suggests that during dhyäna auditory information transmission was delayed at the inferior collicular level (the tectum) as the wave V corresponds to the tectum. Also the autonomic and respiratory variables suggested a higher magnitude of psycho-physiological relaxation during dhyäna as compared to other sessions. Performance in the six letter cancellation task also suggested that meditative focusing (dhāraëä) may improve attention.

SUMMARY OF THE FINDINGS

1. In the present study thirty subjects were studied in four separate sessions i.e., caicalatä (random thought), ekägrata (concentration), dhāraëä (focused attention) and dhyäna (meditation). The sample size was calculated and the effect size obtained, based on reports in an unpublished Ph.D thesis entitled 'Psychophysiology of meditation including responses to external stimuli' (Naveen, 2005).

2. In the traditional texts [the Patañjali's Yoga Sūtras (Taimini, 1961) and Bhāgavad Gētā (Bhaktivedānta Svāmi Prabhupāda, 1998)] it has been described that when awake and in the absence of a specific task the mind is very distractible (caicalatä), and has to be taken through the stages of 'streamlining the thoughts' (concentration or ekägratä), followed by one-pointed concentration (focusing or dhāraëä), then reaching the meditative state (defocused, effortless single thought state or dhyäna). Earlier findings suggest that 'OM' meditation facilitates the neural activity in mesencephalic or

diencephalic level as well as psycho-physiological relaxation. Based on these backgrounds, the present study was carried out to understand the psycho-physiological effects of these four states of wakeful mind.

3. The study design consisted of four sessions i.e., two meditation and two control sessions. All four sessions consisted of three states, i.e., 'pre' (5 minutes), 'during' (20 minutes), and 'post' (5 minutes) separately on different days.

4. The following assessments were made:

- Brainstem Auditory Evoked Potentials (BAEPs)
- Autonomic and Respiratory variables (ARV) and
- Six letter cancellation task (SLCT)

5. For each of the variables the data were analyzed separately using repeated measures ANOVA followed by post-hoc analysis.

6. The results have shown the following changes:

(i) Brainstem auditory evoked potential –There was a significant increase in the latency only of Wave V during the caicalatā session (Pre versus During, 0.4%), ekāgratā session (Pre versus During & post, 0.9% and 1.56%) and following the dhāraēā session (Pre versus Post, 1.22%). There were no significant changes in amplitude of wave V as well as other waves during all sessions.

(ii) Autonomic and respiratory variables

Galvanic Skin Resistance (GSR): There was a significant increase in the galvanic skin resistance during and after the dhyāna session (8.60% to 17.03%) in comparison to caicalatā (7.3% to 10.28%), ekāgratā (7.43% to 11.36%), and dhāraēā (5.20% to 11.36%) sessions.

- Finger Plethysmogram Amplitude (FPA): There was a significant increase in the digit pulse volume in dhyāna session (Pre versus During 2.28% to 12.26%).
- Electrocardiogram (EKG): There was a significant decrease in the heart rate in dhyāna session (Pre versus During & post, 4.93% to 7.73%).
- Respiratory rate: There was a significant increase in the respiratory rate in caicalatā sessions (Pre versus During & post 2.69% to 15.56%). But in session dhyāna there was significant decrease in respiratory rate (Pre versus During & post 8.86% to 19.59%).
- HRV LF: There was a significant increase in low frequency in caicalatā and ekāgratā sessions caicalatā (Pre versus During & post 15.95% to 22.67%), ekāgratā (Pre versus During & post 13.22% to 15.78%). But there was significant decrease in low frequency in dhyāna session (Pre versus During & post 15.42% to 25.53%).

- HF: There was a significant decrease in high frequency in ekāgratā session (Pre versus During & post 16.15% to 19.23%), while there was significant increase in high frequency in dhyāna session (Pre versus During & post 23.55% to 37.76%).

- LF/HF: There was a significant increase in low and high frequency ratio in ekāgratā session (Pre versus During & post 41.44% to 83.67%).

(iii) Six letter cancellation task (SLCT): Total and net scores were significantly higher after the dhāraëä session (16.21% and 13.31%) compared to the pre scores, whereas after the caicalatā session they were significantly lower (24.65% and 23.28%). No significant change was observed in other sessions for the letter cancellation task.

7. The possibility that the neural transmission of auditory sensory information is not delayed through the inferior colliculus during dhyāna may be considered to be supported by the fact the wave V to wave III (wave V / wave III) amplitude ratio was significantly higher during dhyāna. LF power was lower during dhyāna sessions. The HF power was higher during the dhyāna practice. This may be due to relaxation component of dhyāna. An increased skin resistance is well recognized as marker of reduced psychophysiological arousal in meditation (Orme-Johnson, 1973). It is also to be noted that the highest 13 percent increase was during dhyāna. Unlike these variables it is well established that the breath rate depends upon numerous factors ranging from physical activity to psychological stressors (Stevenson and Ripley, 1952). The heart rate was also lower during dhyāna in the present study there was a lowering of both breathing rate and heart rate during dhyāna suggestive of physiological relaxation. The RMSSD (along with the pNN50) are time domain measures which are highly correlated with frequency domain measures and recognized to be strongly dependent on vagal tone (Massin et al., 1999). Hence ekāgratā, dhāraëä and dhyāna appeared to be related to changes in vagal tone, the present results suggest that meditative focusing (dhāraëä) may be the phase during which attention improves

CONCLUSIONS

These results showed that information transmission along the auditory pathway is delayed during caicalatā and ekāgratā with no change during dhāraëä and dhyāna. In dhyāna there was a relative increase in wave V amplitude (relative to wave III) suggesting recruitment of more neurons at the inferior collicular level during compared to before. This suggests that auditory information transmission was delayed at the inferior collicular level (the

tectum) as the wave V corresponds to the tectum. Also, the changes in autonomic and respiratory variables suggested a higher magnitude of psychophysiological relaxation during dhyāna (decrease by 18% in RR, 6% in HR whereas increase by 10% in PLT, and 13% in GSR) as compared to other sessions. Performance in the six letter cancellation task also suggested that meditative focusing (dhāraëä) may improve attention by 13% in net score. Hence the null Hypothesis: (1) the information transmission in auditory pathway will not be delayed in caicalatä, ekägratä, and dhāraëä while it will not remain unaltered if not delayed at the brainstem level in dhyāna phase (2) dhyāna would not induce a state of deep rest with alertness and there will be no autonomic arousal in other phases of ekägratä and dhāraëä (3) there will be no increased attention in all phases ekägratä, dhāraëä and dhyāna with no higher increase in dhyāna is disproved. It appears that separating meditation as dhāraëä and dhyāna and comparing these phases with caicalatä, and with ekägratä as a third, meditative state is useful. The states have different and distinct changes.

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